Generalised Perspective Shape from Shading with Oren-Nayar Reflectance

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Abstract

In spite of significant advances in Shape from Shading (SfS) over the last years, it is still a challenging task to design SfS approaches that are flexible enough to handle a wide range of input scenes. In this paper, we address this lack of flexibility by proposing a novel model that extends the range of possible applications. To this end, we consider the class of modern perspective SfS models formulated via partial differential equations (PDEs). By combining a recent spherical surface parametrisation with the advanced non-Lambertian Oren-Nayar reflectance model, we obtain a robust approach that allows to deal with an arbitrary position of the light source while being able to handle rough surfaces and thus more realistic objects at the same time. To our knowledge, the resulting model is currently the most advanced and most flexible approach in the literature on PDE-based perspective SfS. Apart from deriving our model, we also show how the corresponding set of sophisticated Hamilton-Jacobi equations can be efficiently solved by a specifically tailored fast marching scheme. Experiments with medical real-world data demonstrate that our model works in practice and that is offers the desired flexibility.

1 Introduction

Shape from Shading (SfS) is defined as inferring the shape of an object depicted in a single input image given only the light reflectance and illumination in the scene. It is a classic task in computer vision and was pioneered by Horn [B, B] using *partial differential equations* (PDEs), see [B, D] for reviews of the field. Since then, there has been enormous progress in the area of SfS. In particular, the appropriate modelling of light reflectance and scene illumination has played a key role in the design of successful SfS approaches.

With respect to the light reflectance, the classical orthographic model of Horn [2] based on Lambertian surfaces was soon replaced by advanced non-Lambertian reflectance models

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that offer an improved capability to handle realistic scenarios; see *e.g.* $[\Box, \Box]$. In this context, the reflectance model of *Oren-Nayar* turned out to be particularly useful $[\Box]$, $\Box]$, $\Box]$. It is a general model for diffuse reflectance and has been studied in detail in the frameworks concerning orthographic SfS $[\Box]$. Relying on *perspective* instead of orthographic projection, a different class of modern SfS approaches has emerged in the last years $[\Box]$, most of them formulated again in terms of PDEs $[\Box]$, \Box_2 , \Box_3]. By assuming a Lambertian surface and the illumination given by a point light source located at the optical centre of the camera, they feature many well-posedness properties in contrast to their orthographic counterparts. In particular, the quadratic light fall-off due to inverse square law turned out to be very useful in this context $[\Box]$, \Box_3]. Recently, such perspective SfS models with the light source located at the optical centre of the camera have been extended to non-Lambertian reflectance models $[\Box]$, \Box_3 [use as the aforementioned Oren-Nayar model $[\Box]$. This model has also been investigated in $[\Box\Box]$ for use with the highly efficient *fast marching* (FM) method [\Box].

Turning to more general illumination scenarios, there have been recent works on perspective SfS with Lambertian reflectance for a point light source *not* located at the optical centre. While Wu *et al.* [26] presented a multi-image optimisation framework, Galliani *et al.* [0] proposed a PDE-based approach for a single input image that leads to an efficient FM implementation. However, no advanced non-Lambertian reflectance models have been employed in the context of general illumination settings so far.

Summarising, in the previous work on perspective SfS, approaches for non-Lambertian surfaces as well as for point light sources not co-located with the projection centre have only been considered *individually*. This shows that it is an extremely challenging task to relax the original SfS setup. In particular, there have been no attempts so far to combine a non-Lambertian reflectance model with a general illumination setup – even though, from an application viewpoint, the framework should evidently be as flexible as possible.

In the current paper, we advance the field by *successfully merging* the aforementioned two paths of research. (i) As in the work of Galliani *et al.* $[\square]$ we consider the use of a spherical coordinate system centred at the point light source. (ii) Instead of employing a simple Lambertian reflectance model, we formulate a new brightness equation based on the advanced reflectance model of Oren and Nayar. The resulting approach is a sophisticated PDE belonging to the class of *Hamilton-Jacobi equations* (HJEs) where the solution has to be understood in a viscosity sense, cf. $[\square, [\square], [\square], [\square], [\square]$. Apart from creating a very general and flexible approach, setting up our model in this way offers a third advantage: (iii) since for (advanced) diffuse reflectance models the brightest points correspond approximately to local minima of the depth [$[\square]$], it allows us to extend the efficient FM algorithm proposed in $[\square]$ to our model – although our HJEs are significantly more complex. Experiments with medical real-world input images from endoscopy allow us to verify the useful properties of our approach, see *e.g.* [$[\square]$, $[\square]$, $[\square]$, $[\square]$ application of SfS.

Our paper is organised as follows. First, we briefly recall the SfS setting in spherical coordinates and the Oren-Nayar reflectance model that serves as basis for our approach. Then, we proceed with the derivation of our new model and comment on its algorithmic realisation. After a presentation of computational results, we finally conclude our work.

2 Perspective SfS Based on Spherical Coordinates

While most approaches in the SfS literature are still based on the assumption that the light source is located at the optical centre of the camera, we follow Galliani *et al.* $[\square]$ and consider

a general setup in which the light source is allowed to be located anywhere in the scene. The corresponding geometry is depicted in Fig. 1. It is based on a spherical coordinate system whose origin has been positioned at the location of the light source.

The main idea is to represent the Cartesian vector $\mathbf{r} = \vec{LS}$, i.e. the distance from the light source to the surface, via two angles θ and φ , respectively, as well as a radius *r*:

$$\mathbf{r} = R_{x_3}(\theta) R_{x_2}(\varphi) \begin{bmatrix} 0\\0\\r \end{bmatrix} = \begin{bmatrix} \cos\theta\cos\varphi & -\sin\theta & \cos\theta\sin\varphi\\ \sin\theta\cos\varphi & \cos\theta & \sin\theta\sin\varphi\\ -\sin\varphi & 0 & \cos\varphi \end{bmatrix} \begin{bmatrix} 0\\0\\r \end{bmatrix}.$$
 (1)

Here, the two matrices $R_{x_3}(\theta)$ and $R_{x_2}(\varphi)$ represent rotations about the x_3 - and x_2 -axis, respectively. The corresponding orthonormal basis is then given by

$$\mathbf{e}_{\varphi} = \begin{bmatrix} \cos\varphi\cos\theta \\ \cos\varphi\sin\theta \\ -\sin\varphi \end{bmatrix}, \quad \mathbf{e}_{\theta} = \begin{bmatrix} -\sin\theta \\ \cos\theta \\ 0 \end{bmatrix}, \quad \mathbf{e}_{r} = \begin{bmatrix} \sin\varphi\cos\theta \\ \sin\varphi\sin\theta \\ \cos\varphi \end{bmatrix}, \quad (2)$$

where

$$\varphi = \arccos\left(r_3/\sqrt{r_1^2 + r_2^2 + r_3^2}\right) \text{ and } \theta = \arctan\left(r_2/r_1\right).$$
 (3)



Figure 1: General SfS setup with arbitrary position of the light source. Adapted from [2].

In this basis defined by spherical coordinates, the position vector from the light source to a surface point can be compactly written as:

$$\begin{bmatrix} r_1 & r_2 & r_3 \end{bmatrix}^{\top} =: \mathbf{r} := r \mathbf{e}_r \quad \text{with} \quad r = \sqrt{r_1^2 + r_2^2 + r_3^2} .$$
 (4)

Having parametrised the unknown surface in spherical coordinates, we now have to compute the surface normal for each pixel of the input image. This can be done by first determining the vectors defining the tangent plane – these vectors are given by the derivatives of the surface with respect to the two directions orthogonal to **r**, namely φ and θ – and then by computing the cross product to obtain the corresponding normal vector. Using the definition of **r** from (4), the surface normal vector can be determined as

$$\mathbf{n} = \frac{\partial (r\mathbf{e}_r)}{\partial \theta} \times \frac{\partial (r\mathbf{e}_r)}{\partial \varphi} = r \frac{\partial r}{\partial \theta} \left(\mathbf{e}_r \times \frac{\partial \mathbf{e}_r}{\partial \varphi} \right) + r \frac{\partial r}{\partial \varphi} \left(\frac{\partial \mathbf{e}_r}{\partial \theta} \times \mathbf{e}_r \right) + r^2 \left(\frac{\partial \mathbf{e}_r}{\partial \theta} \times \frac{\partial \mathbf{e}_r}{\partial \varphi} \right).$$
(5)

By noting from (2) that $\frac{\partial \mathbf{e}_r}{\partial \varphi} = \mathbf{e}_{\varphi}$ and $\frac{\partial \mathbf{e}_r}{\partial \theta} = \sin \varphi \mathbf{e}_{\theta}$, since $(\mathbf{e}_{\varphi}, \mathbf{e}_{\theta}, \mathbf{e}_r)$ constitutes a right-handed coordinate system, we finally obtain

$$\mathbf{n} = r \frac{\partial r}{\partial \theta} \mathbf{e}_{\theta} + r \sin \varphi \frac{\partial r}{\partial \varphi} \mathbf{e}_{\varphi} - r^2 \sin \varphi \mathbf{e}_r.$$
(6)

This information is required later on in the reflectance model to establish the connection between the known image brightness and the unknown local orientation of the surface.

3 Perspective Oren-Nayar SfS Brightness Equation

After we have discussed a suitable parametrisation of the object surface as well as the surface normal, let us now explain the *Oren-Nayar* reflectance model. In contrast to the standard Lambertian case that assumes the object surface to be ideally diffuse, the advanced Oren-Nayar model [13, 14, 15, 16] explicitly allows to handle general *rough* surfaces. The idea of this model is to represent a rough surface as an aggregation of V-shaped cavities, each with Lambertian reflectance properties. Assuming the slopes of these cavities to be Gaussian distributed, the roughness of a surface can be characterised by a single parameter, namely the standard deviation σ of its slope distribution.

For determining the irradiance, we consider additionally the inverse square law for the light fall-off. Thus, we obtain the following *brightness equation* for the Oren-Nayar model [2, [2]]:

$$I(\mathbf{x}) = \frac{1}{r^2} \cos(\theta_i) \left(A + B \sin(\alpha) \tan(\beta) \max[0, \cos(\varphi_i - \varphi_r)] \right), \tag{7}$$

where the two non-negative terms

$$A = 1 - 0.5 \,\sigma^2 \left(\sigma^2 + 0.33\right)^{-1} \quad \text{and} \quad B = 0.45 \,\sigma^2 \left(\sigma^2 + 0.09\right)^{-1} \tag{8}$$

depend on the statistics of the cavities via the roughness parameter σ . In this context, θ_i represents the angle between the unit surface normal **N** and the light source direction **L**, θ_r stands for the angle between the unit surface normal **N** and the camera direction **V**, φ_i is the angle between the projection of the light source direction **L** and the x_1 axis onto the (x_1, x_2) -plane, φ_r denotes the angle between the projection of the camera direction **V** and the x_1 axis onto the (x_1, x_2) -plane, and the two variables $\alpha = \max[\theta_i, \theta_r]$, $\beta = \min[\theta_i, \theta_r]$ stand for the maximum and minimum of the angles θ_i and θ_r , respectively.

4 Perspective Oren-Nayar SfS in Spherical Coordinates

In order to derive the nonlinear HJEs that describe our new model, we have to formulate the brightness equation of the Oren-Nayar reflectance model in (7) using the parametrisation in terms of spherical coordinates that we derived in Section 2. As a first step towards this goal, we have to determine the direction L of the light source and the viewing direction V of the camera. Following Fig. 1, these two directions can be computed as

$$\mathbf{L} = -\mathbf{e}_r \qquad \text{and} \qquad \mathbf{V} = \overrightarrow{SC} = \overrightarrow{LC} - \overrightarrow{LS} = (v_1 - r)\mathbf{e}_r + v_2\mathbf{e}_{\varphi} + v_3\mathbf{e}_{\theta}, \qquad (9)$$

where

$$v_1 = \sqrt{c_1^2 + c_2^2 + c_3^2}$$
, $v_2 = \arccos\left(-c_3/\sqrt{c_1^2 + c_2^2 + c_3^2}\right)$, $v_3 = \arctan\left(\frac{c_2}{c_1}\right)$. (10)

Knowing the surface normal **n** from Eq. (6) as well as the light direction **L** and the viewing direction **V**, we can then reformulate all trigonometric expressions occurring in the Oren-Nayar brightness equation (7) in terms of spherical coordinates. Using the relation

$$\nabla r := \nabla_{(\theta,\varphi)} r = \frac{1}{r} \left(\frac{\partial r}{\partial \varphi} \right) \mathbf{e}_{\varphi} + \frac{1}{r \sin \varphi} \left(\frac{\partial r}{\partial \theta} \right) \mathbf{e}_{\theta} \,, \tag{11}$$

yields

$$\cos(\theta_i) = \mathbf{N} \cdot \mathbf{L} = \frac{\mathbf{n}}{|\mathbf{n}|} \cdot \mathbf{L} = \left(|\nabla r|^2 + 1\right)^{-1/2}, \qquad (12)$$

$$\cos(\theta_r) = \mathbf{N} \cdot \mathbf{V} = \left(\frac{1}{r \sin \varphi} \frac{\partial r}{\partial \theta} v_3 + \frac{1}{r} \frac{\partial r}{\partial \varphi} v_2 + r - v_1\right) \left(|\nabla r|^2 + 1\right)^{-1/2}, \quad (13)$$

with $\sin(\theta_i) = \sqrt{1 - \cos^2(\theta_i)}$ and $\sin(\theta_r) = \sqrt{1 - \cos^2(\theta_r)}$ which, in particular, allows us to rewrite $\sin(\alpha)$ and $\tan(\beta)$. What still remains to be computed is the expression $\cos(\varphi_i - \varphi_r)$. To this end, we calculate the projections of the directions **L** and **V** onto the (x_1, x_2) -plane. This is realised by setting $\varphi = \frac{\pi}{2}$ and, consequently, by reducing v_1 and r defined in (10) and (4) to their first two components. Defining these projections of **L** and **V** as $\hat{\mathbf{l}}$ and $\hat{\mathbf{v}}$, respectively, we obtain

$$\cos(\varphi_i - \varphi_r) = \hat{\mathbf{l}} \cdot \hat{\mathbf{v}} = \sqrt{r_1^2 + r_2^2} - \sqrt{c_1^2 + c_2^2} \,. \tag{14}$$

Finally, we are in the position to rewrite Eq. (7) entirely in spherical coordinates. However, since there are several *min* and *max* operators involved, we have to distinguish four different cases. These cases are given by Eqs. (15)–(18). For each case we have listed the corresponding implications, the resulting brightness equation as well as the HJE that we finally have to solve. While Case 4 resembles the single Lambertian PDE in [**D**], the HJEs for the other cases are significantly more complex. This makes explicit that our general SfS approach with Oren-Nayar reflectance model is substantially more challenging than previous approaches based on the Lambertian assumption. Moreover, please note that Case 3 is actually a special case of Case 1. However, since this case exactly describes the standard setting, where the light source is located at the optical centre of the camera, we decided to list it separately. Finally, we would like to mention that the angle between the light direction **L** and the viewing direction **V** cannot be larger or equal to π . This, however, is evident, since otherwise we cannot project these vectors onto the (x_1, x_2) -plane.

Case 1: $\theta_i \ge \theta_r$ and $(\varphi_i - \varphi_r) \in [0, \frac{\pi}{2}) \cup (\frac{3}{2}\pi, 2\pi]$

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1. Implication:
$$\max[0, \cos(\varphi_i - \varphi_r)] = \cos(\varphi_i - \varphi_r)$$
(15)
2. Brightness equation:
$$I(\mathbf{x}) = \frac{1}{r^2} \cos(\theta_i) \left(A + B\cos(\varphi_i - \varphi_r)\sin(\theta_i)\frac{\sin(\theta_r)}{\cos(\theta_r)}\right)$$

3. Hamilton-Jacobi equation:

$$r^2 I - \frac{A}{\sqrt{|\nabla r|^2 + 1}} - \frac{B(\hat{\mathbf{i}} \cdot \hat{\mathbf{v}})|\nabla r|}{|\nabla r|^2 + 1} \frac{\sqrt{|\nabla r|^2 + 1 - \left(\frac{1}{r\sin\varphi}\frac{\partial r}{\partial\theta}v_3 + \frac{1}{r}\frac{\partial r}{\partial\varphi}v_2 + r - v_1\right)^2}}{\left(\frac{1}{r\sin\varphi}\frac{\partial r}{\partial\theta}v_3 + \frac{1}{r}\frac{\partial r}{\partial\varphi}v_2 + r - v_1\right)} = 0$$

Case 2: $\theta_i < \theta_r$ and $(\varphi_i - \varphi_r) \in [0, \frac{\pi}{2}) \cup (\frac{3}{2}\pi, 2\pi]$

1. Implication: $\max[0, \cos(\varphi_i - \varphi_r)] = \cos(\varphi_i - \varphi_r)$ (16) 2. Brightness equation: $I(\mathbf{x}) = \frac{1}{r^2} \cos(\theta_i) \left(A + B\cos(\varphi_i - \varphi_r)\sin(\theta_r)\frac{\sin(\theta_i)}{\cos(\theta_i)}\right)$ 3. Hamilton-Jacobi equation: $r^2 I - \frac{A}{\sqrt{|\nabla r|^2 + 1}} - \frac{B(\hat{\mathbf{l}}\cdot\hat{\mathbf{v}})|\nabla r|}{|\nabla r|^2 + 1} \sqrt{|\nabla r|^2 + 1 - \left(\frac{1}{r\sin\varphi}\frac{\partial r}{\partial \theta}v_3 + \frac{1}{r}\frac{\partial r}{\partial \varphi}v_2 + r - v_1\right)^2} = 0$

Case 3: $\theta_i = \theta_r$ and $\varphi_i = \varphi_r$

1. Implications: $\theta := \theta_i = \theta_r = \alpha = \beta$ and $\max[0, \cos(\varphi_i - \varphi_r)] = 1$ (17) 2. Brightness equation: $I(\mathbf{x}) = \frac{1}{r^2}\cos(\theta)\left(A + B\frac{\sin(\theta^2)}{\cos(\theta)}\right)$ 3. Hamilton-Jacobi equation: $r^2 I(|\nabla r|^2 + 1) - A\sqrt{|\nabla r|^2 + 1} - B|\nabla r|^2 = 0$

Case 4: For any θ_i, θ_r , and $(\varphi_i - \varphi_r) \in [\frac{\pi}{2}, \frac{3}{2}\pi]$

1. Implication: $\max[0, \cos(\varphi_i - \varphi_r)] = 0$ (18) 2. Brightness equation: $I(\mathbf{x}) = \frac{1}{r^2} A \cos(\theta_i)$ 3. Hamilton-Jacobi equation: $I\sqrt{|\nabla r|^2 + 1} - \frac{A}{r^2} = 0$

5 Fast Marching Solver

After we have derived the brightness equation for the Oren-Nayar reflection model with general position of the light source, let us now discuss how we can solve the resulting set of HJEs for the unknown radial distance r. In order to allow for an efficient computation, we rely on a variant of the *fast marching* (FM) schemes which are among the fastest solvers for PDEs available in the literature [\square]. Such schemes start from critical points, i.e. points that are local minima of the depth, and then propagate the solution to the remaining points on the surface. Thereby, at each grid point, the corresponding nonlinear PDE from Eq. (15)–(18) has to be solved iteratively for the unknown radial distance. Since our HJEs are formulated in a spherical coordinate system that is usually not centred at the camera position, the location where the radiance data I has to be evaluated depends on r. This, however, prevents the application of standard FM schemes, since they assume I not to change during the iterations.

Recently, Galliani *et al.* [**D**] proposed a specifically adapted variant of FM for spherical coordinates. Although their SfS model is restricted to the Lambertian case and the corresponding single HJE is significantly simpler than our set of HJEs, we can still make use of the basic strategy of their approach:

(i) In a first step, we identify critical points on the surface based on their brightness values. Since such points denote local minima with respect to their distance to the light source, we know that $\nabla r = 0$. This allows us to solve the set of HJEs at those locations *locally* for the radial depth *r*, i.e. *without* considering information from neighbouring pixels. Solving for *r* can either be done iteratively (Case 1 and 2) or directly (Case 3 and 4).

(ii) After computing the depth values at critical points, we propagate the information to other points in terms of θ and φ by sequentially updating the depth values at neighbouring locations via solving the corresponding HJE there. As proposed in [2], we apply the iterative update strategy from [22] making use of the *regula falsi* method that has been originally employed in the context of nonlinear HJEs in Euclidean coordinates. This requires to discretise our HJEs for which we use a standard upwind scheme as described in [22]. Moreover, since x_1 and x_2 depend on the radial depth r, we have to update the sample location and thus the value of $I(x_1(\theta, \varphi, r), x_2(\theta, \varphi, r))$ at each iteration within our iterative framework. The sampling at subpixel locations is done using bilinear interpolation. The iterations are stopped if the residual of the equation drops below a certain threshold T. In our case, we use $T = 10^{-3}$.

6 Experimental Evaluation

In order to evaluate the quality and robustness of our model, we have used endoscopic images provided in $[\square]$. Since model parameters in SfS are in general unknown, experiments with such real-world data are highly challenging; see *e.g.* $[\square]$, $[\square]$. In particular, the position of the camera relative to the position of the light source is typically not provided with such data sets, since standard SfS models are restricted to the assumption that both positions coincide (which is often not true by construction). However, in contrast to such techniques, our model *can handle* scenarios where the position of the light source is actually *not* located at the optical centre of the camera. Thus, for our experiments, we had to rely on a rough estimation of the correct position by visually inspecting the images. Hence, we assumed that the light source is located at the origin based on Fig. 1 and chose the position of the camera nearby but *not too close* to the origin. Since it was already shown in [\square] that a model with flexible position of the light source can give significant advantages over a standard SfS approach, we

focus in the following on the other two important aspects of our novel method: the visual quality of the reconstruction as well as its robustness with respect to parameter variations.

In our first experiment, we evaluated the impact of the spherical resolution on the quality of the reconstruction. To this end, we used uniform grids in the φ - θ -domain with mesh widths $\delta_{\varphi\theta} = \Delta \varphi = \Delta \theta$ and computed the results for different resolutions. As we can see from Fig. 2, the reconstruction quality improves significantly when increasing the resolution, i.e. when refining the grid. However, one should keep in mind that the actual quality is limited by the resolution of the input image which is repeatedly evaluated at sub-pixel positions during our FM computation. Moreover, one should note that, due to choice that the light source is at the origin of our coordinate system, the reconstruction is computed from the viewpoint of the light source. This explains the slight shift of the reconstruction with respect to the input image.



(a) Input image (115×106) .

Figure 2: Reconstruction of gastric antrum with $\sigma = \frac{\pi}{6}$.

In a second experiment, we investigated the robustness of the reconstruction with respect to the choice of the roughness parameter σ for the Oren-Nayar reflectance model. To this end, we have used four different input images and computed the reconstructions for different values of σ accordingly. The Figs. 3–6 show the reconstruction of the duodenum, the esophagus, the papilla of Vater, and the stomach of lining, respectively. As we can see, the model gives very reasonable results and behaves in a well-posed manner, i.e. the results are stable and depend continuously on σ . This is very important for performing SfS computations with real-world input images, since the correct value of σ is typically not known.



(cropped, 106×78).

Figure 3: Reconstruction of the duodenum $\delta_{\varphi\theta} = 0.0125$, grid size 504×504 .





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(c) $\sigma = \frac{\pi}{4}$.

(d) $\sigma = \frac{\pi}{2}$.

(b) $\sigma = \frac{\pi}{6}$.



Figure 6: Reconstruction of the stomach lining $\delta_{\varphi\theta} = 0.0125$, grid size 504×504 .

7 Conclusion

(a) Input image (210×160) .

We have presented a perspective SfS model that combines the advantage of freely selecting the position of the light source with the robustness of an advanced non-Lambertian reflectance model. By considering both a general light source setup and an improved reflectance model, the resulting approach is one of the most advanced and flexible models in the field. This is also validated by our experiments with medical real-world images that gave good results. Despite of these results, we found that the relatively large number of unknown parameters still makes conducting experiments difficult. Besides camera, reflectance and lighting parameters that have to be roughly estimated, the spherical resolution of the result and the iteration and threshold parameters may need some manual adjustment. This is the price one has to pay for taking into account an advanced reflection model that leads to a set of relatively complex PDEs. Nevertheless, we believe that the combination of advanced models for light source setup and reflectance is definitely worthwhile. However, the reflectance model should not be more complicated than the one of Oren and Nayar. Otherwise a carefully controlled experimental environment is needed to achieve meaningful results; see [**L**].

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